

Appln. No.: 09/632,944
IDS filed October 7, 2004



PATENT.
Customer No. 22,852
Attorney Docket No. 07451.0004-02
InterTrust Ref. No. IT-6.1.1 (US)

2132

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 7, 2004. Express Mail Label No.: EV 527340995 US

Signed:

Mary A. McCauley
Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER et al.

Serial No.: 09/632,944

Filed: August 4, 2000

For: TRUSTED AND SECURE
TECHNIQUES, SYSTEMS AND
METHODS FOR ITEM DELIVERY
AND EXECUTION

)
)
) Group Art Unit: 2132

)
) Examiner: DARROW, Justin T.

)
) Confirmation No.: 2078

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), the Office is hereby authorized to charge the required fee of \$180.00, as specified by Section 1.17(p), to our Deposit Account No. 06-0916.

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Justin Darrow

03/21/2005

As a result of the Microsoft litigation, summaries of reference titles and copies of some of those references were provided to Applicants (See Tab 2, Exhibit A of the Final Notice Regarding Related Litigation submitted to the Office on September 15, 2004, pertaining to InterTrust Technology Corp. v. Microsoft Corp., C 01-1640 SBA, N. D. Ca). The references listed on the attached form PTO-1449 represent all available references, not yet submitted in this case, of which Applicants are aware. The listed references are provided in electronic format via two (2) CD-ROMs attached hereto.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

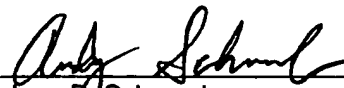
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

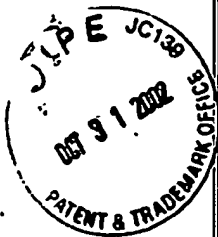
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 7, 2004

By: _____


Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
1300 I Street, NW
Washington, D.C. 20005
(202) 408-4000



2132 #9

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0004-02
InterTrust No.: IT-6.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Karl L. GINTER, et al.) Group Art Unit: 2132
)
Application No.: 09/632,944) Examiner: Not Yet Assigned
)
Filed: August 4, 2000)
)
For: TRUSTED AND SECURE)
TECHNIQUES, SYSTEMS AND)
METHODS FOR ITEM DELIVERY)
AND EXECUTION)

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Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application. Applicants also submit herewith copies of the listed documents.

The documents cited herein were cited by Microsoft in the InterTrust Tech. Corp. v. Microsoft Corp. litigation (C 01-1640 SBA, N. D. Ca.), which involves patents related to this patent application. See Notice Regarding Related Litigation, filed concurrently herewith. Some or all of these documents may have been previously cited in other Information Disclosure Statements filed in this application to which those applications

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Justin Brown

03/21/2005

claim priority under 35 U.S.C. §120. However, out of an abundance of caution, Applicants are bringing these documents to the Examiner's attention along with the additional information that these documents have been mentioned in the litigation. Applicants respectfully request that the Examiner consider the listed references and indicate that they were considered by making appropriate notations on the attached form.

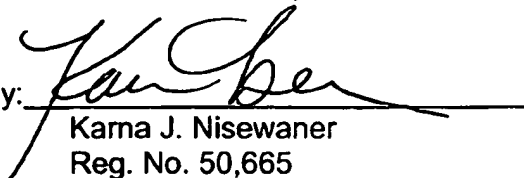
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

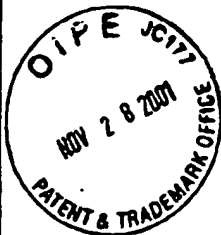
FINNEGAN, HENDERSON, FARABOW,
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By: 
Karna J. Nisewaner
Reg. No. 50,665

Dated: October 30, 2002

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PATENT
Customer No. 22,852
Attorney Docket No. 7451.0004-02000
Inter Trust Ref. No.: IT-6.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER, et al.

Application No.: 09/632,944

Filed: August 4, 2000

For: TRUSTED AND SECURE
TECHNIQUES, SYSTEMS AND
METHODS FOR ITEM DELIVERY
AND EXECUTION

Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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03/21/2005

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 27, 2001

By: 

Jason E. Gorden
Reg. No. 46,784

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03C0

#4

PATENT
 Atty. Dkt. No.: 7451.0004-02
 InterTrust Ref. No.: IT-6.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

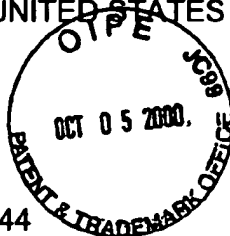
In re Application of:

Karl L. Ginter, et al.

Serial No.: 09/632,944

Filed: August 4, 2000

For: TRUSTED AND SECURE
 TECHNIQUES, SYSTEMS AND
 METHODS FOR ITEM
 DELIVERY AND EXECUTION



) Group Art Unit: Unassigned

) Examiner: Unassigned

Assistant Commissioner for Patents
 Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

With the exception of U.S. Patent No. 5,636,276 submitted herewith, copies of the listed documents were previously submitted in prior applications Serial Nos. 09/221,479, filed December 28, 1998, 08/699,711, filed August 12, 1996, and 08/388,107, filed February 13, 1995, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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03/21/2005

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 4, 2000

By Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681

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